

12/28/01
PTO
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: V637-02461 US

First Inventor or Application Identifier Ralph H. JOHNSON

Title: CURRENT CONFINEMENT, CAPACITANCE REDUCTION AND ISOLATION
OF VCSELS USING DEEP ELEMENTAL TRAPS1036 U.S. PRO
10/028303

12/28/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

ACCOMPANYING APPLICATION PARTS

2.	<input checked="" type="checkbox"/> Specification	Total Pages	18	6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
3.	<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets	2	7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
4.	<input type="checkbox"/> Oath or Declaration	Total Pages		8. <input type="checkbox"/> English Translation Document (if applicable)
a.	<input type="checkbox"/> Newly executed (original or copy)			9. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
b.	<input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed)			10. <input type="checkbox"/> Preliminary Amendment
i.	<input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).			11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard
5.	<input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.
15.	13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)			
16.	14. <input type="checkbox"/> Other:			
17.	Check for \$ 0.00			

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application no.:
Prior application information:		Examiner:	Group Art Unit:

16. Amend the specification by inserting before the first line the sentence:

<input type="checkbox"/> This application is a	<input type="checkbox"/> Continuation	<input type="checkbox"/> Division	<input type="checkbox"/> Continuation-in-part (CIP)
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of application Serial No. Filed on

<input type="checkbox"/> This application claims priority of provisional application Serial No.	Filed
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17. CORRESPONDENCE ADDRESS

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Signature: *John M. Kelly* Date: December 28, 2001

Name: Registration No.:

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FEE TRANSMITTAL For FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$758.00

COMPLETE IF KNOWN

Application No.	T.B.D.
Filing Date	December 28, 2001
First Named Inventor	Ralph H. JOHNSON
Group Art Unit	T.B.D.
Examiner Name	T.B.D.
Attorney Docket No.	V637-02461 US

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc

Charge any Additional Fee Required
Under 37 CFR §§16 & 1172. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

3. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code \$	
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)			\$740.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code \$	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,250
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,150
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			SUBTOTAL (3) \$0.00

SUBMITTED BY

Name (Print / Type)	Andrew A. Abeyta	Registration No (Attorney/Agent)	39,582	Telephone	602-313-3345
Signature	<i>John M Kelly 33,920, for Andrew Abeyta</i>		Date	12/28/2001	

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DC:91121.1